

<b>FORM PTO-1449</b>  <b>LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT</b>  (Use several sheets if necessary)	ATTY. DOCKET NO.	APPLICATION NO.	CONFIRMATION NO.
	<b>10007794-11</b>		
	APPLICANT		
	<b>Chen et al</b>		
	FILING DATE	GROUP	
	<b>Herewith</b>		

## REFERENCE DESIGNATION

## U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	PUBLICATION DATE	NAME	Pages, Columns, Lines Where Relevant Passages or Figures Appear
	1A	5,825,049	Oct 1998	Simmons et al	
	1B	6,328,620	Dec 2001	Hu	
	1C	5,703,380	Dec 1997	Potter	
	1D	6,023,124	Feb 2000	Chuman et al	
	1E	6,118,136	Sep 2000	Liu et al	
	1F	5,557,596	Sep 1996	Gibson et al	
	1G	6,034,479	Mar 2000	Xia	
	1H	5,473,218	Dec 1995	Moyer	
	1I	5,702,281	Dec 1997	Huang et al	
	1J	6,130,503	Oct 2000	Negishi et al	
	1K	2002/0167021	Nov 2002	Chen et al	

## FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	PUBLICATION DATE	NAME OF PATENTEE OR APPLICANT	Pages/Columns/Lines Where Relevant Passages/Figures Appear	Check if Translation attached
	1L	EP1003195	May 2000	Matsushita		
	1M	EP1094485	April 2001	Matsushita		
	1N	EP0989577	March 2000	Matsushita		
	1O	JP2001118489	April 2001	Matsushita		
	1P	JP2001118500	April 2001	Matsushita		

## OTHER REFERENCES (including Author, Title, Date, Pertinent Pages, etc.)

	1Q	Kusonki, T. et al, "Increasing Emission Current From MIM Cathodes by Using IR-PE-AU Multilayer Top Electrode", IEEE Transactions on Electron Devices, V. 47 (8), Aug 2000, pp 1667-1672
	1R	Eastman, P.C. et al, "Injection Electroluminescence in Metal-Semiconductor Tunnel Diodes", Solid State Electronics, Pergamon Press 1964, V.7, pp 879-885
	1S	

EXAMINER

DATE CONSIDERED

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APPLICANT'S INFORMATION DISCLOSURE  
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(Use several sheets if necessary)

ATTY. DOCKET NO.

10007794-11

APPLICATION NO.

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## U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	PUBLICATION DATE	NAME	Pages, Columns, Lines Where Relevant Passages or Figures Appear
	2A	2002/0024808	Feb 2002	Suehiro et al	
	2B	6,011,356	Jan 04, 2000	Janning et al	
	2C	6,096,570	Aug 01, 2000	Hattori	
	2D	6,107,732	Aug 22, 2000	Tolt	
	2E	6,137,212	Oct 24, 2000	Liu	
	2F	4,303,930	Dec 01, 1981	VanGorkom et al	
	2G	4,516,146	May 07, 1985	Shannon et al	
	2H	5,090,932	Feb 25, 1992	Dieumegard et al	
	2I	5,414,272	May 09, 1995	Watanabe et al	
	2J	5,554,859	Sep 10, 1996	Tsukamoto et al	
	2K	5,557,596	Sep 17, 1996	Gibson et al	

## FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	PUBLICATION DATE	NAME OF PATENTEE OR APPLICANT	Pages/Columns/Lines Where Relevant Passages/Figures Appear	Check if Translation attached
	2L					
	2M					
	2N					
	2O					
	2P					

## OTHER REFERENCES (including Author, Title, Date, Pertinent Pages, etc.)

	2Q	
	2R	
	2S	

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